

**General Instructions:** Same as in Homework 1.

**Honor Principle:** Same as in Homework 1.

17. For constants  $0 < \alpha < \beta < 1$ , define the class  $\text{BPP}_{\alpha,\beta}$  to be the class of all languages  $A \subseteq \Sigma^*$  such that there exists a PTM  $M$  that runs in polynomial time and behaves as follows on an input  $x \in \Sigma^*$ :

$$\begin{aligned} x \notin A &\Rightarrow \Pr_R[M(x, r) = 1] \leq \alpha, \\ x \in A &\Rightarrow \Pr_R[M(x, r) = 1] \geq \beta. \end{aligned}$$

Note that our definition of BPP in class coincides with  $\text{BPP}_{\frac{1}{3}, \frac{2}{3}}$  in this notation.

Using Chernoff bounds, give a full formal proof that for all  $\alpha$  and  $\beta$  as above,  $\text{BPP}_{\alpha,\beta} = \text{BPP}$ . [2 points]

The Chernoff bound has the following general form. Let  $\{X_1, \dots, X_n\}$  be independent indicator random variables with  $\mathbb{E}[X_i] = p_i$ . Suppose  $X = \sum_{i=1}^n X_i$  and let  $p$  be such that  $np = p_1 + \dots + p_n$ . Then, for any  $\delta > 0$ :

$$\Pr[X \geq (1 + \delta)np] \leq \left( \frac{e^\delta}{(1 + \delta)^{1+\delta}} \right)^{np}.$$

We also have a similar inequality bounding deviations of  $X$  below its mean. For  $0 < \delta < 1$ :

$$\Pr[X \leq (1 - \delta)np] \leq \left( \frac{e^{-\delta}}{(1 - \delta)^{1-\delta}} \right)^{np}.$$

These inequalities can be weakened to more convenient forms by using Taylor series expansions of  $\ln(1 \pm \delta)$ . The Appendix of [Arora-Barak] has more on Chernoff bounds.

18. Prove that  $\text{NP} \subseteq \text{BPP}$  implies  $\text{NP} = \text{RP}$ .

Hint: Once you “solve” one NP-complete problem, you can solve them all! [2 points]

19. (This is a standard exercise in many textbooks; please avoid looking in them for solutions and try to work this out by yourself. It will pay off well later in the course.)

Let  $X$  and  $Y$  be finite sets and let  $Y^X$  denote the set of all functions from  $X$  to  $Y$ . We will think of these functions as “hash” functions.\* A family  $\mathcal{H} \subseteq Y^X$  is said to be 2-universal if the following property holds, with  $h \in_R \mathcal{H}$  picked uniformly at random:

$$\forall x, x' \in X \forall y, y' \in Y \left( x \neq x' \Rightarrow \Pr_h [h(x) = y \wedge h(x') = y'] = \frac{1}{|Y|^2} \right).$$

Consider the sets  $X = \{0, 1\}^n$  and  $Y = \{0, 1\}^k$ , with  $k \leq n$ . Treat the elements of  $X$  and  $Y$  as column vectors with 0/1 entries. For a matrix  $A \in \{0, 1\}^{k \times n}$  and vector  $b \in \{0, 1\}^k$ , define the function  $h_{A,b} : X \rightarrow Y$  as follows:  $h_{A,b}(x) = Ax + b$ , where all additions and multiplications are performed mod 2.

Now consider the family of functions  $\mathcal{H} = \{h_{A,b} : A \in \{0, 1\}^{k \times n}, b \in \{0, 1\}^k\}$ . Prove that

$$\forall x \in X \forall y \in Y \left( \Pr_h [h(x) = y] = \frac{1}{|Y|} \right).$$

Next, prove that  $\mathcal{H}$  is a 2-universal family of hash functions. [2 points]

\*The term “hash function” has no formal meaning; instead, one should speak of a “family of hash functions” or a “hash family” as we do here.