CS 10: Problem solving via Object Oriented Programming

Hashing

Java provides us faster Sets and Maps using hashing instead of Trees

- Sets hold unique objects, Maps hold Key/Value pairs
- Map Keys are unique, but Values may be duplicated
- As we saw last class, using a Tree is a natural fit for implementing Sets and Maps
- Performance with a Tree is <u>generally</u> better than a List
- We can do better than Tree performance by using today's topic of discussion – hashing
- We trade memory for speed!
- Java provides the HashSet and HashMap out-of-the-box that do a lot of the hard work for us

Agenda

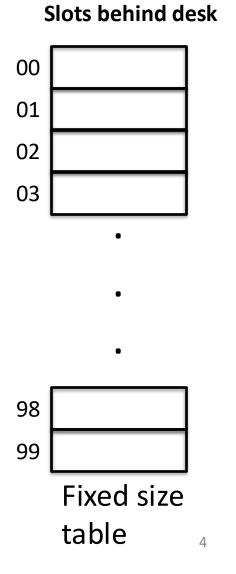


1. Hashing

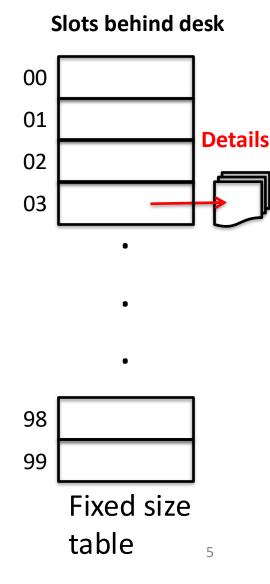
Key points:

- 1. Hashing maps a key to a table index
- 2. We can use this concept to implement Maps and Sets
- 2. Computing Hash functions
- 3. Implementing Maps/Sets with hashing
- 4. Handling collisions
 - 1. Chaining
 - 2. Open Addressing

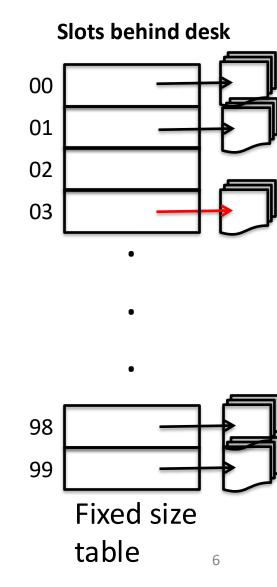
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- Shipments arrive, details of where item stored in warehouse put in slot by last two digits of customer phone number (e.g., 03)



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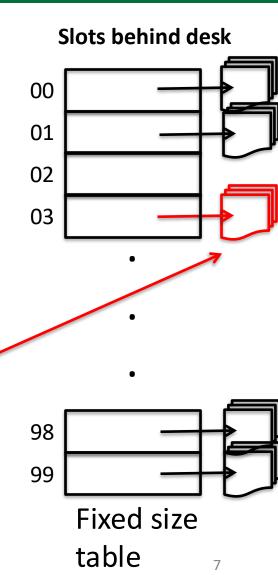
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- Customer arrives, gives last two digits of phone



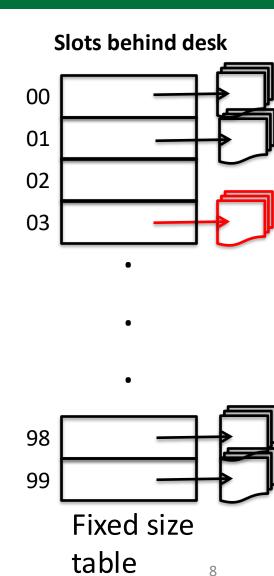
Sears store implementation of hash table

- Used to have 100 slots behind order desk, 0...99
- Shipments arrive, details of where item stored in warehouse put in slot by last two digits of customer phone number (e.g., 03)
- Customer arrives, gives last two digits of phone
- Clerk finds slot with that two-digit number
- Clerk searches contents of that slot only
- Could be multiple orders, but can find the order quickly because only a few orders in slot

Search only these orders, skip the rest

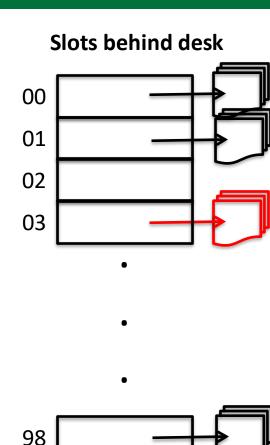


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- Clerk searches contents of that slot only
- Could be multiple orders, but can find the order quickly because only a few orders in slot
- Splits set of (possibly) hundreds or thousands of orders into 100 slots of a few items each
- Trick: find a hash function that spreads customers evenly
- Last two digits work, why not first two?



Fixed size

table

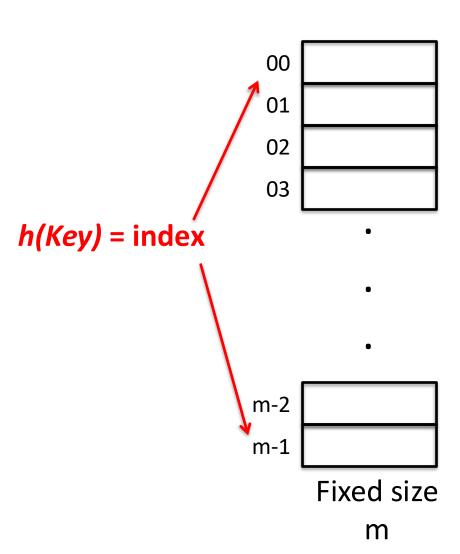
99

The store is using a form of hashing based on customer's phone number

Hashing phone numbers to find orders Goal: given phone number, 00 quickly find orders 01 02 Search only 03 Hash small Input: **Function** number of Phone h(Key) orders number (Key) Hash function: strip 98 out last two digits = 99 slot index Fixed size Customer table orders

10

Hashing's big idea: map a Key to an array index, then access is fast



Map hash table implementation

- Begin with array of fixed size m (called a hash table)
- Each array index holds item we want to find (e.g., warehouse location of customer's order)
- Use hash function h on Key to give index into hash table
- h(Key) = table index i = 0..m-1
- Get item from hash table at index given by hash function
- <u>Fast</u> to get/set/add/remove items
- What about a HashSet?
- Use object itself as Key
- How to hash Key or object?

Agenda

1. Hashing



2. Computing Hash functions

- 3. Implementing Maps/Sets with hashing
- 4. Handling collisions
 - 1. Chaining
 - 2. Open Addressing

Key points:

- Hash function: fast and consistent, spread keys over table (simple uniform hashing), small key changes make different hash values
- 2. Hashing process: (1) convert to key integer, (2) constrain key to fall on table index
- 3. hashCode method returns integer representation of key

Good hash functions map keys to indexes in table with three desirable properties

Desirable properties of a hash function

- 1. Hash can be computed quickly and consistently
- 2. Hash spreads the universe of keys evenly over the table (simple uniform hashing)
- 3. Small changes in the key (e.g., changing a character in a string or order of letters) should result in different hash value

Cryptographic hash function also:

- Difficult to determine key given the result of hash
- Unlikely that different keys will result in same hash
- We will not focus on crypto requirements

Hashing is often done in two steps: hash then compress

1. Hash

2. Compress

- Get an integer representation of Key
- Integer could be in range
 –infinity to +infinity

Constrain integer to table index [0..m)

First step in hashing is to get an integer representation of the key

Goal: given key compute an index into hash table array

Some Java objects can be directly cast to integers

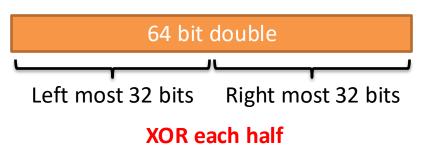
- byte
- short
- int
- char

```
char a = 'a';

int b = (int)a;
```

Some items too long cast to integers

- double (64 bits)
- long (64 bits)
- Too long to make 32-bit integers



Complex objects such as Strings can also be hashed to a single integer

Hashing complex objects

- Consider String x of length n where $x = x_0x_1...x_{n-2}x_{n-1}$
- Pick prime number a (book recommends 31, 37, or 41)
- Cast each character in x to an integer
- Calculate polynomial hashcode as $a^{n-1}x_0 + a^{n-2}x_1 + ... ax_{n-2} + x_{n-1}$
- Use Horner's rule to efficiently compute hash code

```
public int hashCode() {
    final int a=37;
    int sum = x[0]; //first item in array
    for (int j=1;j<n;j++) {
        sum = a*sum + x[j]; //array element j
    }
    return sum;
}</pre>
```

 Experiments show that when using a as above, 50,000 English words had fewer than 7 collisions

Good news: Java provides a hashCode() method to compute hashes for us!

hashCode()

Java does the hashing for us for Strings and autoboxed types with hashCode() method

```
Character a = 'a';
a.hashCode() returns 97
String b = "Hello";
b.hashCode() returns 69609650
```

Bad news: We need to override hashCode() and equals() for our own Objects

- By default, Java uses memory address of objects as a hashCode
- But we typically want to hash based on properties of object, not whatever memory location an object happened to be assigned
- This way two objects with same instance variables will hash to the same table location (those objects are considered equal)
- Java says that two equal objects must return same hashCode()

```
public class PointHash extends Point { Extend Point class
  int r;
                                     to have a radius
  public PointHash(int x, int y, int r) {
                                     like PS-2
    super(x,y);
    this.r = r;
  @Override
  public boolean equals(PointHash p) { //equal if same x,y,r
    return (x == p.x && y == p.y && r == p.r);
  @Override
  public int hashCode() {
    final int a=37;
    int sum = a * a * x;
    sum += a * y;
    sum += r;
    return sum;
```

Here we consider two Points *equal* if they have the same *x*, *y* and *r* values *equals()* IS THE RIGHT WAY TO COMPARE OBJECT EQUALITY (not ==)

Override hashCode() to provide the same hash if two Points are equal

If don't override hashCode() then even though two objects are considered equal, Java will look in the wrong slot

```
public static void main(String[] args) {
  char a = 'a';
  int b = (int)a;
  System.out.println("Casting 'a' to int is: "+ b);
```

Some types can be directly cast to an integer

Casting 'a' to int is: 97

```
public static void main(String[] args) {
    char a = 'a';
    int b = (int)a;
    System.out.println("Casting 'a' to int is: "+ b);
    Character z = 'a';
    System.out.println("hashCode for 'a' is: " + z.hashCode());

Casting 'a' to int is: 97
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Casting 'a' to int is: 97
hashCode for 'a' is:
```

Java computes hash for autoboxed types with hashCode()

```
public static void main(String[] args) {
    char a = 'a';
    int b = (int)a;
    System.out.println("Casting 'a' to int is: "+ b);
    Character z = 'a';
    System.out.println("hashCode for 'a' is: " + z.hashCode());
    String y = "Hello";
    System.out.println("hashCode for 'hello' is: " + y.hashCode());
    hashCode() also works
    for more complex built-
    in types
```

```
public static void main(String[] args) {
                                                                   Casting 'a' to int is: 97
  char a = 'a';
                                                                   hashCode for 'a' is: 97
                                                                   hashCode for 'hello' is: 69609650
  int b = (int)a;
  System.out.println("Casting 'a' to int is: "+ b);
  Character z = 'a';
                                                                   b1 is at (x,y,r): 5, 5, 5
  System.out.println("hashCode for 'a' is: " + z.hashCode());
                                                                   b2 is at (x,y,r): 0, 0, 5
  String y = "Hello";
                                                                   hashCode b1: 7035 b2:5
  System.out.println("hashCode for 'hello' is: " + y.hashCode());
  System.out.println();
  //create new Point with overridden equals and hashCode functions
  PointHash b1 = new PointHash(5, 5, 5);
  PointHash b2 = new PointHash(0, 0, 5); //create new HashPoint
  System.out.println("b1 is at (x,y,r): " + b1.x + ", " + b1.y + ", " + b1.r);
  System.out.println("b2 is at (x,y,r): " + b2.x + ", " + b2.y + ", " + b2.r);
  System.out.println("hashCode b1: " + b1.hashCode() + " b2:" + b2.hashCode());
```

For our own objects, we can provide our own hashCode() otherwise we get the memory location by default

```
public static void main(String[] args) {
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  System.out.println("hashCode for 'a' is: " + z.hashCode());
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  String y = "Hello";
                                                                    hashCode b1: 7035 b2:5
  System.out.println("hashCode for 'hello' is: " + y.hashCode());
                                                                              @Override
  System.out.println();
                                                                              public int hashCode() {
                                                                                final int a=37;
  //create new Point with overridden equals and hashCode functions
                                                                                int sum = a * a * x;
                                                                                sum += a * v;
  PointHash b1 = new PointHash(5, 5, 5);
                                                                                sum += r;
  PointHash b2 = new PointHash(0, 0, 5); //create new HashPoint
                                                                                return sum;
  System.out.println("b1 is at (x,y,r): " + b1.x + ", " + b1.y + ", " + b1.r);
  System.out.println("b2 is at (x,y,r): " + b2.x + ", " + b2.y + ", " + b2.r);
  System.out.println("hashCode b1: " + b1.hashCode() + " b2:" + b2.hashCode());
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For our own objects, we can provide our own hashCode() otherwise we get the memory location by default

Otherwise *equals()* checks if same memory location

```
public static void main(String[] args) {
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                                                                    b2 is at (x,y,r): 0, 0, 5
  String y = "Hello";
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  System.out.println("hashCode for 'hello' is: " + y.hashCode()); b1 is equal to b2: false
  System.out.println();
                                               @Override
  //create new Blob with overridden equals
                                               public boolean equals(PointHash p) {
  PointHash b1 = new PointHash(5,5,5);
                                                 return (x == p.x && y == p.y && r == p.r);
  PointHash b2 = new PointHash(0, 0, 5); // }
  System.out.println("b1 is at (x,y,r): " + b1.x + r, " + b1.y + r,"
  System.out.println("b2 is at (x,y,r): " + b2.x + ", " + b2.y + ", " + b2.r);
  System.out.println("hashCode b1: " + b1.hashCode() + " b2:" + b2.hashCode());
  System.out.println("b1 is equal to b2: " + b1.equals(b2));
  Override equals() to test if objects are equivalent
```

```
public static void main(String[] args) {
                                                               Casting 'a' to int is: 97
  char a = 'a';
                                                               hashCode for 'a' is: 97
  int b = (int)a;
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  System.out.println("Casting 'a' to int is: "+ b);
  Character z = 'a';
                                                               b1 is at (x,y,r): 5, 5, 5
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                                                               b2 is at (x,y,r): 0, 0, 5
  String y = "Hello";
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  System.out.println("hashCode for 'hello' is: " + y.hashCode()); b1 is equal to b2: false
  System.out.println();
                                            @Override
  //create new Blob with overridden equals
                                            public boolean equals(PointHash p) {
  PointHash b1 = new PointHash(5,5,5);
                                              return (x == p.x && y == p.y && r == p.r);
  PointHash b2 = new PointHash(0, 0, 5); // }
  System.out.println("b2 is at (x,y,r): " + b2.x + ", " + b2.y + ", " + b2.r);
  System.out.println("hashCode b1: " + b1.hashCode() + " b2:" + b2.hashCode());
  System.out.println("b1 is equal to b2: " + b1.equals(b2));
                                                               This is the right way to
  Override equals() to test if objects are equivalent
                                                               compare if two objects are
  Otherwise equals() checks if same memory location
                                                               equivalent (not b1 == b2) 25
```

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public static void main(String[] args) {
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  System.out.println();
                                                                 after update b1 equals b2: true
                                                                 hashCode b1: 7035 b2:7035
  //create new Blob with overridden equals and hashCode functions After updating x,y, and r
  PointHash b1 = new PointHash(5,5,5);
                                                                       two Blobs are now equal
  PointHash b2 = new PointHash(0, 0, 5); //create new HashBlob
                                                                         hashCode() returns same
  System.out.println("b1 is at (x,y,r): " + b1.x + ", " + b1.y + ", " + b1.r); value for equivalent
  System.out.println("b2 is at (x,y,r): " + b2.x + ", " + b2.y + ", " + b2.r); objects
  System.out.println("hashCode b1: " + b1.hashCode() + " b2:" + b2.hashCode());
  System.out.println("b1 is equal to b2: " + b1.equals(b2));
                                                                      HashMap and HashSet will
  b2.x = 5; b2.y = 5; b2.r = 5; //set b2 to same location as b1
                                                                      now put equivalent objects
  System.out.println("after update b1 equals b2: " + b1.equals(b2)); in the same slot
                                                                                             26
  System.out.println("hashCode b1: " + b1.hashCode() + " b2:" + b2.hashCode());
```

Hashing is often done in two steps: hash then compress

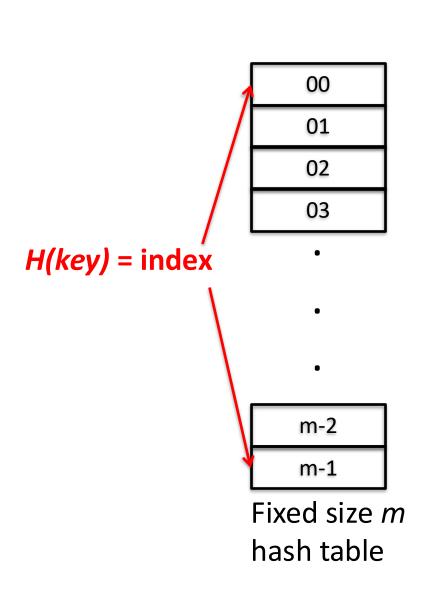
1. Hash

2. Compress

- Get an integer representation of Key
- Integer could be in range
 –infinity to +infinity

Constrain integer to table index [0..m)

May have to compress hash value to table index [0..m)



Compressing

- hashCode() value may be larger than the table (or negative!)
- Need to constrain value to one of the table slots [0..m)
- "Division method" is simple:
 h(key) = key.hashCode() % m
- Works well if *m* is prime
- Book gives a more advanced version called Multiply-Add-And-Divide (MAD)
- Java takes care of this for us ©
- Eventually will encounter collisions where multiple keys map to the same slot (28)

Agenda

- 1. Hashing
- 2. Computing Hash functions



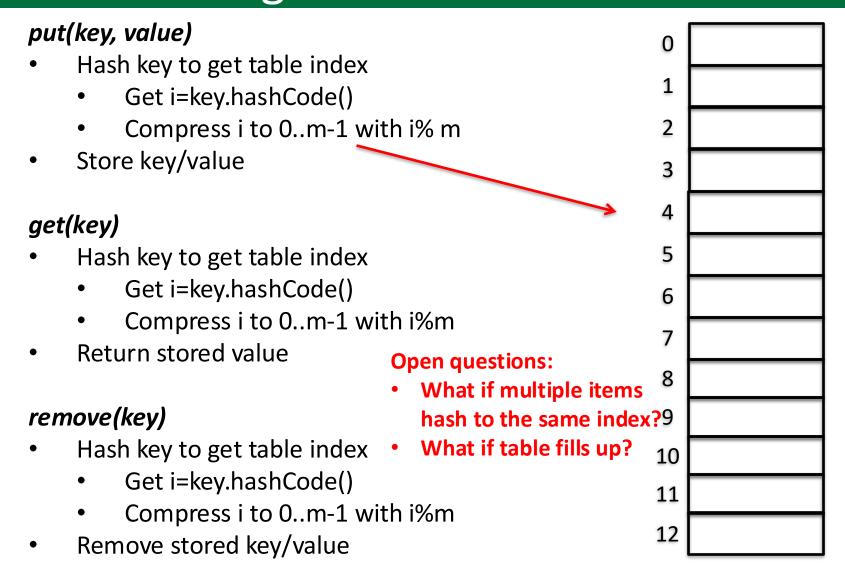
3. Implementing Maps/Sets with hashing

- 4. Handling collisions
 - 1. Chaining
 - 2. Open Addressing

Key points:

- 1. Use hashCode to get integer representation of key
- 2. Constrain integer to fall on table index
- 3. Implement Map (or Set)
 - Put: store item at table index
 - Get: return value at table index
 - Remove: remove item at table index

Map methods can be easily implemented with hashing



Agenda

- 1. Hashing
- 2. Computing Hash functions
- 3. Implementing Maps/Sets with hashing



- 4. Handling collisions
 - 1. Chaining
 - 2. Open Addressing

Key points:

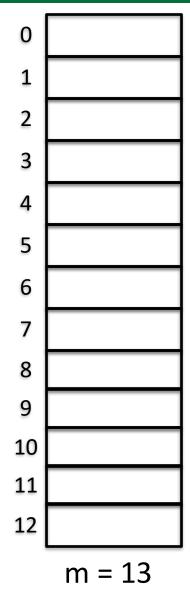
- 1. Collisions result when different keys map to the same table index
- 2. Handle collisions in one of two ways:
 - 1. Chaining
 - 2. Open Addressing
- 3. Map/Set operations are constant time using hash table with low load factor and simple uniform hashing

Integer keys

Given table size m = 13 put(key,value)

- Hash & constrain key
- Store value at index

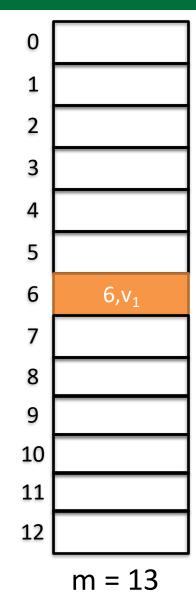
index = key.hashCode() % m



Integer keys

Given table size m = 13 put(key,value)

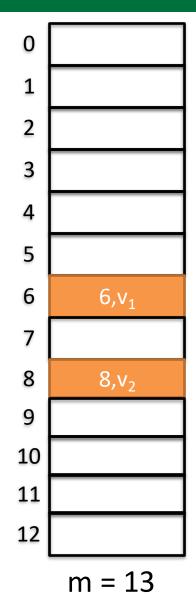
- Hash & constrain key
- Store value at index index = key.hashCode() % m Example
- $put(6,v_1) = 6 \% 13 = 6$



Integer keys

Given table size m = 13 put(key,value)

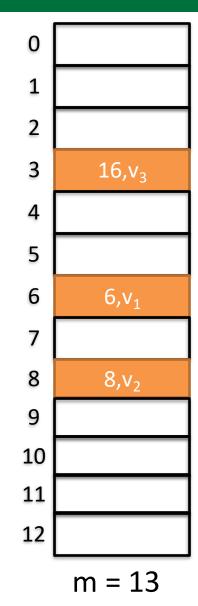
- Hash & constrain key
- Store value at index index = key.hashCode() % m Example
- $put(6,v_1) = 6 \% 13 = 6$
- $put(8,v_2) = 8 \% 13 = 8$



Integer keys

Given table size m = 13 put(key,value)

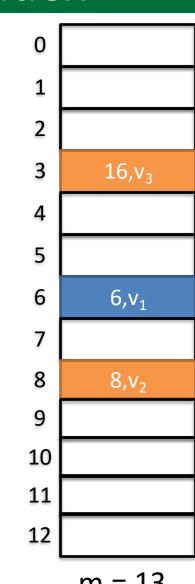
- Hash & constrain key
- Store value at index index = key.hashCode() % m Example
- $put(6,v_1) = 6 \% 13 = 6$
- $put(8,v_2) = 8 \% 13 = 8$
- $put(16,v_3) = 16 \% 13 = 3$



Integer keys

Given table size m = 13 put(key,value)

- Hash & constrain key
- Store value at index index = key.hashCode() % m Example
- $put(6,v_1) = 6 \% 13 = 6$
- $put(8,v_2) = 8 \% 13 = 8$
- $put(16,v_3) = 16 \% 13 = 3$
- put(19, v_4) = 19 % 13 = 6



Collision!
6 and 19 mapped to
the same index

$$h(6)=h(19)$$

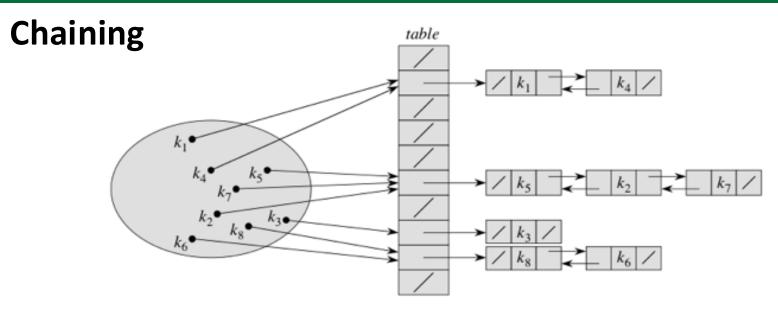
Agenda

- 1. Hashing
- 2. Computing Hash functions
- 3. Implementing Maps/Sets with hashing
- 1. Handling collisions



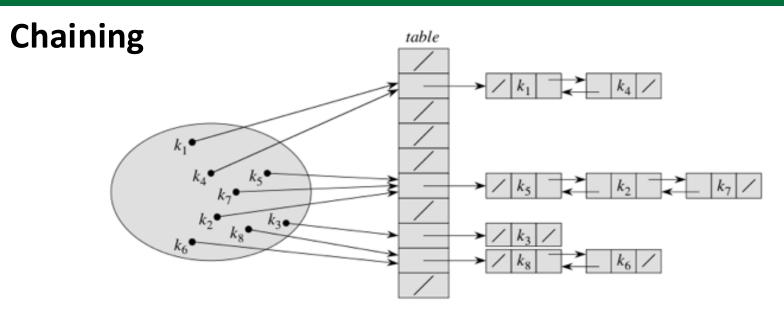
- 1. Chaining
 - 2. Open Addressing

Chaining handles collisions by creating a linked list for each table entry



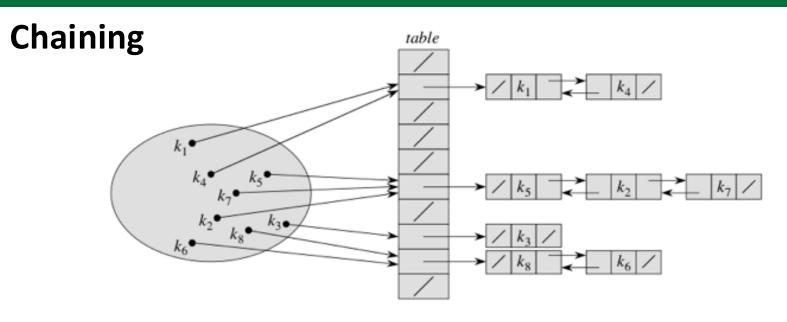
- Create a table pointing to linked list of items that hash to the same index (similar to last class word positions)
- Slot i holds all keys k for which h(k) = i
- Splice in new elements at head
- NOTE: Values associated with Keys are not shown, here just showing Keys

Load factor measures number of items in the list that must be searched on average



- Assume table with *m* slots and *n* keys are stored in it
- On average, we expect n/m elements per collision list
- This is called the *load factor* $(\lambda = n/m)$
- Expected search time is $\Theta(1+\lambda)$, assuming simple uniform hashing (each possible key equally likely to hash into a particular slot), worst case O(n) if bad hash function

If the load factor gets too high, then we should increase the table size



- If *n* (# elements) becomes larger than *m* (table size), then collisions are inevitable and search time goes up
- Java increases <u>table size</u> by 2X and <u>rehashes</u> into new table when $\lambda > 0.75$ to combat this problem
- Problem: memory fragmentation with link lists spread out all over, might not be good for embedded systems

Agenda

- 1. Hashing
- 2. Computing Hash functions
- 3. Implementing Maps/Sets with hashing
- 1. Handling collisions
 - 1. Chaining
- 2. Open Addressing

Open addressing is different solution, everything is stored in the table itself

Open addressing using linear probing

- Insert item at hashed index (no linked list)
- For key k compute h(k)=i, insert at index i
- If collision, a simple solution is called *linear probing*
 - Try inserting at i+1
 - If slot i+1 full, try i+2... until find empty slot
 - Wrap around to slot 0 if hit end of table at m-1
 - If λ < 1 will find empty slot
 - If $\lambda \approx 1$, increase table size (m*2) and rehash
- Search analogous to insertion, compute key and probe until find item or empty slot (key not in table)

Linear probing is one way of handling collisions under open addressing

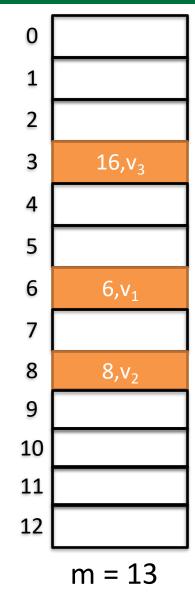
Integer keys

Given table size m = 13

index = key.hashCode() % m

Example

- $put(6,v_1) = 6 \% 13 = 6$
- $put(8,v_2) = 8 \% 13 = 8$
- $put(16,v_3) = 16 \% 13 = 3$



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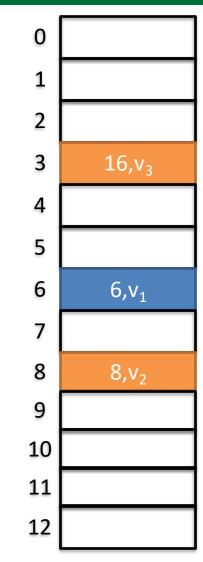
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- put(19, v_4) = 19 % 13 = 6



Collision!

Try next index if hashed index is full, repeat if next index is also full

Integer keys

Given table size m = 13

index = key.hashCode() % m

Example

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Insert at i+1=7

To find items, probe until find Key or hit an empty space

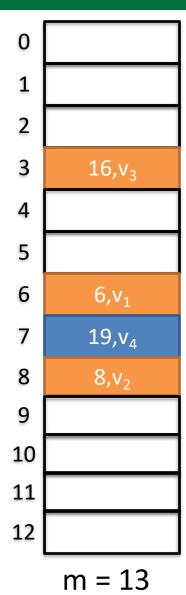
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- $put(19,v_4) = 19 \% 13 = 6$
- get(19)



Insert at i+1=7

To find items later, hash to table index, then probe until find item or hit empty slot

Deleting items is tricky, need to mark deleted spot as available but not empty

Problems deleting items under linear probing

- Insert k₁, k₂, and k₃ where h(k₁)=h(k₂)=h(k₃)
- All three keys hash to the same slot in this example
- k₁ in slot *i*, k₂ in slot *i*+1, k₃ in slot *i*+2
- Remove k₂, creates hole at i+1
- Search for k₃
 - Hash k₃ to i, slot i holds k₁≠k₃, advance to slot i+1
 - Find hole at i+1, assume k_3 not in hash table
- Can mark deleted spaces as available for insertion, and search skips over marked spaces
- This can be a problem if many deletes create many marked slots, search approaches linear time

Clustering of keys can build up and reduce performance

Clustering problem

- Long runs of occupied slots (clusters) can build up increasing search and insert time
- Clusters happen because empty slot preceded by t full slots gets filled with probability (t+1)/m, instead of 1/m (e.g., t keys can now fill open slot instead of just 1 key)
- Clusters can bump into each other exacerbating the problem

Clustering of keys can build up and reduce performance

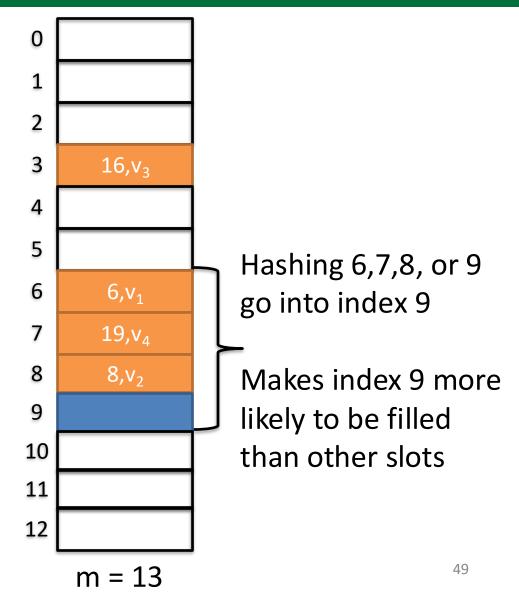
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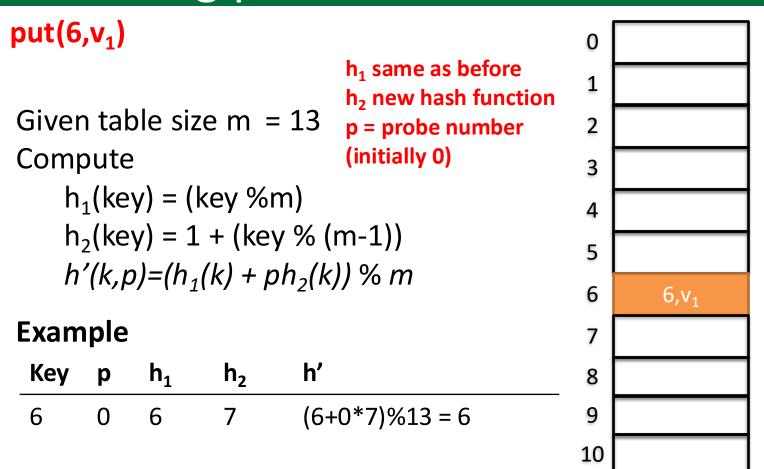
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Double hashing

- Big idea: instead of stepping by 1 at each collision like linear probing, step by a different amount where the step size depends on the key
- Use two hash functions h₁ and h₂ to make a third h'
- $h'(k,p)=(h_1(k) + ph_2(k)) \mod m$, where p number of probes
- First probe $h_1(k)$, p=0, then p incremented by 1 on each collision until space is found
- Result is a step by $h_2(k)$ on each collision (then mod m to stay inside table size), instead of 1
- Need to design hashes so that if $h_1(k_1)=h_1(k_2)$, then unlikely $h_2(k_1)=h_2(k_2)$



11

12

m = 13

put(8,
$$v_2$$
)

$$h_1 \text{ same as before} \\ h_2 \text{ new hash function} \\ p = probe \text{ number} \\ compute \\ h_1(key) = (key \%m) \\ h_2(key) = 1 + (key \% (m-1)) \\ h'(k,p) = (h_1(k) + ph_2(k)) \% m$$

$$Example$$

$$0$$

$$h_1 \text{ same as before} \\ h_2 \text{ new hash function} \\ cinitially 0)$$

$$3$$

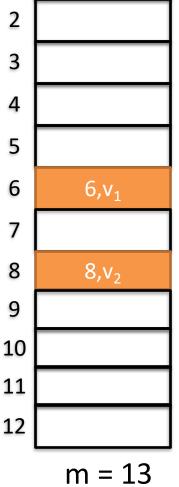
$$4$$

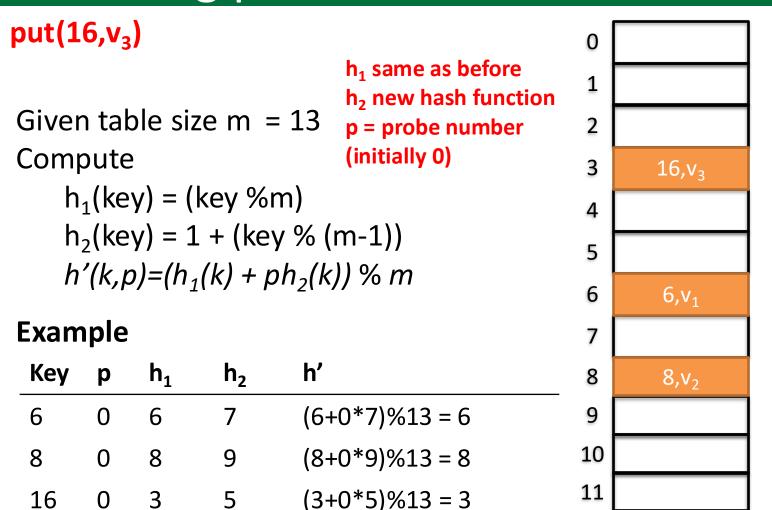
$$b_1(key) = (key \%m) \\ h_2(key) = 1 + (key \% (m-1)) \\ b'(k,p) = (h_1(k) + ph_2(k)) \% m$$

$$6$$

$$6, v_1$$

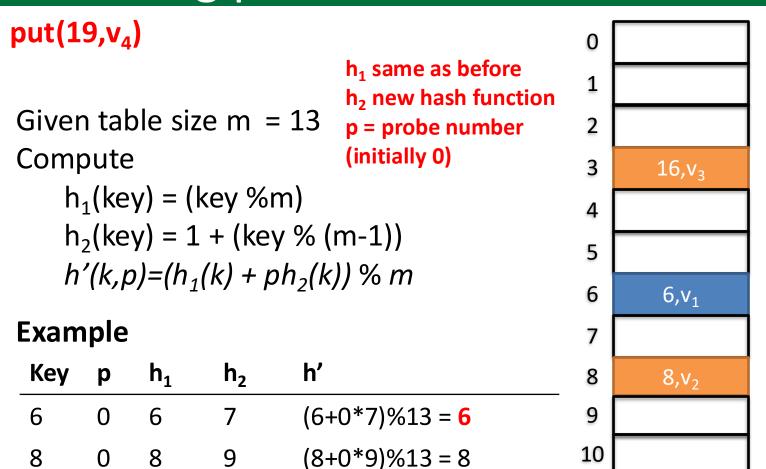
Key	р	h_1	h ₂	h'
6	0	6	7	(6+0*7)%13 = 6
8	0	8	9	(8+0*9)%13 = 8





12

m = 13

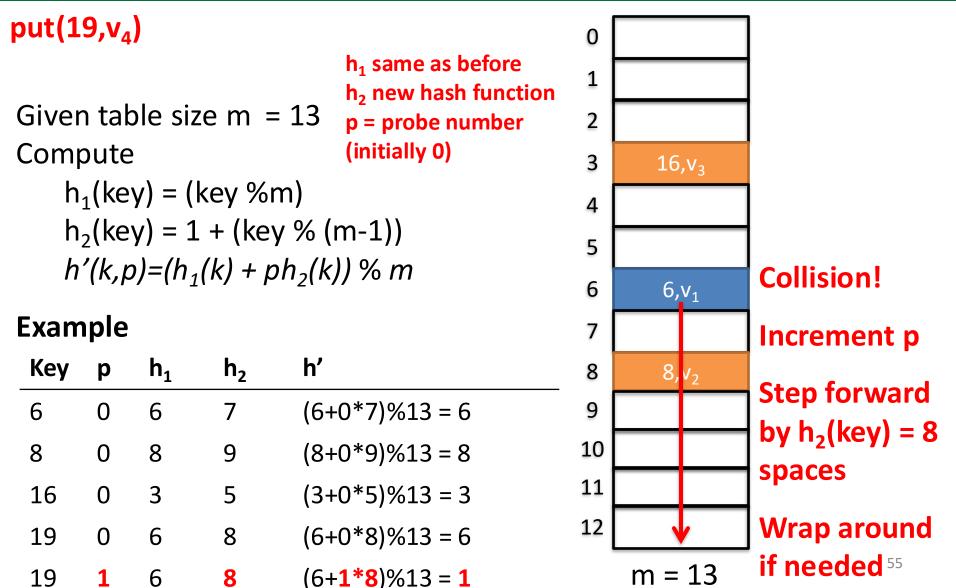


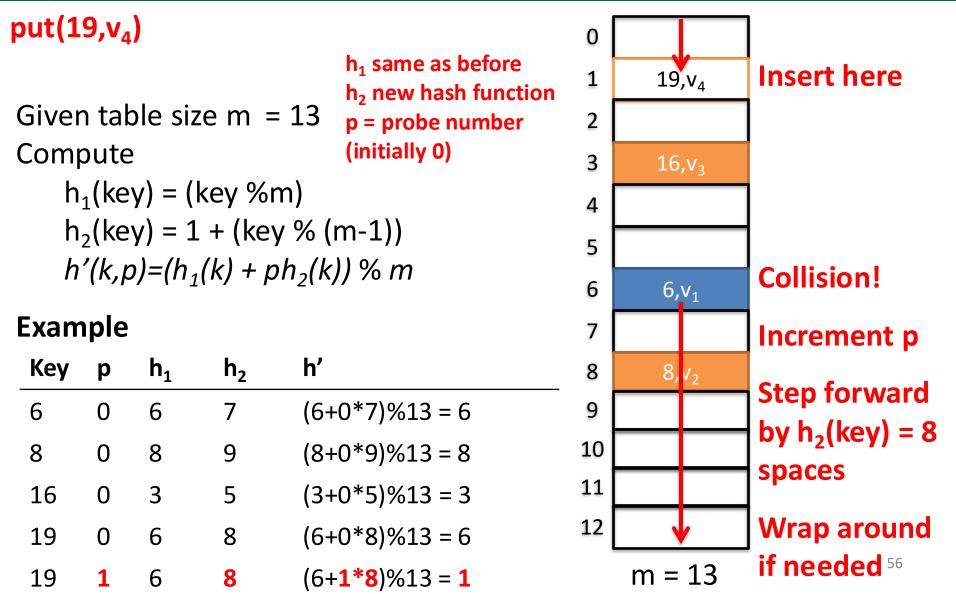
(3+0*5)%13 = 3

(6+0*8)%13 = 6

Collision!

m = 13





Run time degrades as λ gets large, so keep λ small by growing hash table

Expected insert and search time

- Average number of probes is approximately $1/(1-\lambda)$
- As λ ->1, expected number of probes becomes large, when λ small, number of probes approaches 1
- If table 90% full, then expect about 10 probes for unsuccessful search
- Successful search generally a little faster, about 2.5 probes (math on course web page and in book)
- Must grow table and <u>rehash</u> when copying to new table to keep the table sparsely populated or performance suffers

Sparsely populated table trades memory for speed

Operation	Expected run time	Notes
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remove(k)	O(1) +O(1) O(1)	 Hash + find = O(1) Plus remove element: Chaining: update one pointer O(1) Probing: mark space empty O(1) Assuming a small load factor and uniform hashing, the core operations of HashSets and HashMaps are
		constant time!

Key points

- 1. Hashing maps a key to a table index
- 2. We can use this concept to implement Maps and Sets
- 3. Hash function: fast and consistent, spread keys over table (simple uniform hashing), small key changes make different hash values
- 4. Hashing process: (1) convert to key integer, (2) constrain key to fall on table index
- 5. hashCode method returns integer representation of key
- 6. Constrain hashCode integer to fall on table index (easy way: modulo table size)
- 7. Implement Map (or Set)
 - Put: store item at constrained table index
 - Get: return value at constrained table index
 - Remove: remove constrained item at table index
- 8. Collisions result when different keys map to the same table index
- 9. Handle collisions in one of two ways:
 - Chaining
 - Open Addressing
- 10. Map/Set operations are constant time using hash table assuming low load factor and simple uniform hashing